

Appliances Unit

Compliance Assistance Tutorial

Overview of the Appliance Certification Program





Title 20 Appliance Efficiency Compliance Assistance Tutorial

Efficiency Division – Appliances Unit

WEBINAR BEGINS AT THE TOP OF THE HOUR

Introduction to MAEDBS



Participation Guidelines

To ensure a successful webinar for all

- ➤ Please use the chat feature to ask questions or make comments.
- > Please mute your phone.
- > Please do not place your phone on HOLD.

Contact us for further information at appliances@energy.ca.gov



Resources - Listservers

To subscribe to the Title 20 Compliance Assistance Efficiency and Appliances lists sign up at: http://www.energy.ca.gov/efficiency/listservers.html

To contact the Title 20 Compliance Assistance Hotline, dial toll free inside California, (888) 838-1467, and from outside of California, (916) 651-7100 or email appliances@energy.ca.gov



Introduction to MAEDBS

Modernized Appliance Efficiency Database System

ENERGY COMMISSION

http://www.energy.ca.gov/appliances/



Appliance Efficiency Program

Manufacturers must certify appliance efficiency data to the Energy Commission in order to comply with state law.



<u>Compliance</u> - Go here for information on our compliance program, to certify appliance data, or apply for approval of a test laboratory or third party certifier.



Enforcement - Go here for information on our enforcement program. You may also report a violation using the Appliance Efficiency Enforcement Intake Form (PDF).



Report Noncompliance (PDF)

Report a violation via the fillable Appliance Efficiency Enforcement Intake Form.



<u>Outreach</u> - Go here for outreach and education materials such as flyers, brochures, reports, and FAQs.



2015 Appliance Efficiency Regulations

Toilet, Urinal, and Faucet Regulations were adopted on April 8, 2015 and are effective January 1, 2016

Water Appliance Amendments to the Appliance Efficiency Regulations

Excerpt of the recent water appliance amendments to the Appliance Efficiency Regulations

Appliance Enforcement Regulations were adopted on May 13, 2015, and are effective July 1, 2015.

<u>Proposed State Regulations and Federal</u> <u>Updates.</u>



http://www.energy.ca.gov/appliances/database/improvements.html

MAEDBS Enhancements and Updates

The Modernized Appliance Efficiency Database System (MAEDBS) gets updates with new functionality or changes on a regular basis. Details of database enhancements and updates are added to this page as they occur. To receive email announcements of these updates, please subscribe to the Title 20 Compliance Assistance List Serve at the right.

Enhancements

- » July 1, 2016
 - New appliance type created for "Residential Cooking" products (includes microwave ovens) to allow for certification to federal standards effective on June 17, 2016.
 - » New appliance type created for "Air Filters" to allow for certification to California State standards effective on July 1, 2016.
 - » New appliance type created for "Deep-Dimming Ballasts" to allow for certification to California State standards effective on July 1, 2016.
 - » New appliance type created for "Heat Pump Water Heating Packages" to allow for certification to California State standards effective on July 1, 2016.
- June 23, 2016
 - Plumbing Fitting Certification Update Change in showerhead minimum flow rate fields to allow reporting of all three tested minimum flow rates at 20 +/- 1 psi, 45 +/- 1 psi, and 80 +/- 1 psi for the minimum flow rate standards effective on July 1, 2016.

Subscribe				
Title 20 Compliance Assistance List Serve				
Automated Email Notifications				
First name:				
Last name:				
Email address:				
Elliali audress.				
You will receive an email requesting that you confirm your subscription.				
Send Reset				



PRESENTATION POINTS

- 1. Create a user account
- 2. Submit appliance data (certifying)
- 3. Search the database
 - ➤ Quick Search
 - > Advanced Search
 - > Company Search



Features of MAEDBS

- Account management function allows authorized users to register and maintain their own accounts.
- Users logon to submit securely.
- Multiple search engines provided to query submittal status, third party certifiers, active and archived appliances, manufactures, and approved test labs.
- Able to certify data and submit test lab, third party certifier, and delegation of authority applications.
- A public search feature for test labs and third party certifiers has been added to the new system.



A new account is created following this sequential order:

• Create New Company (third party certifier, manufacturer, and/or test lab)

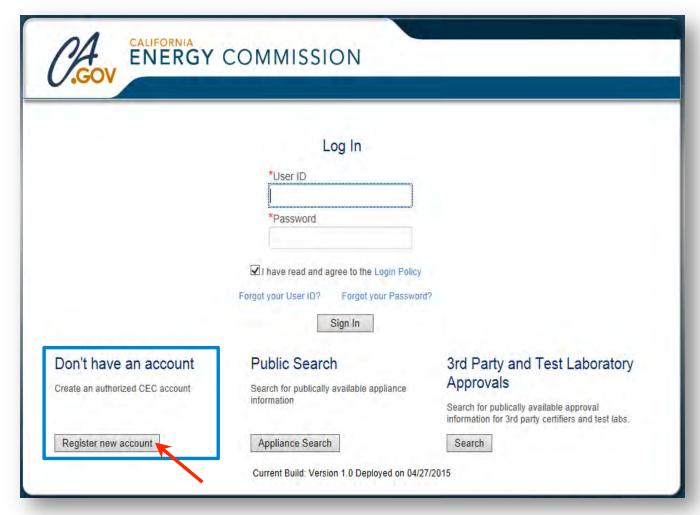
• Create New User for that Company

- New User confirmation email is sent
- Email verification (receive and reply) by new user
- California Energy Commission staff reviews and approves
- Final email validation sent to new user

Account is active

5

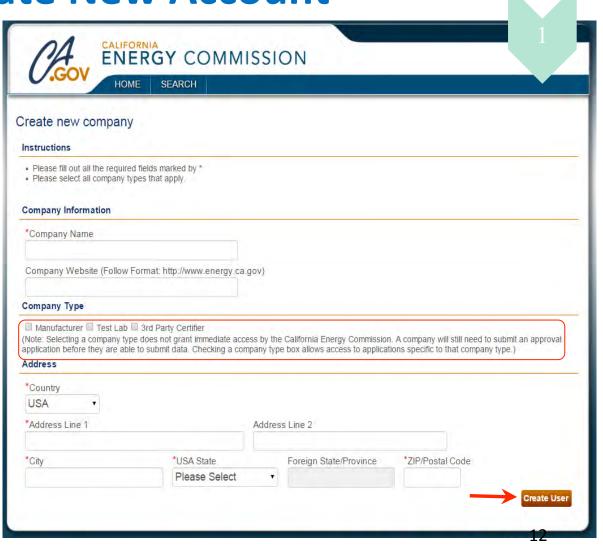






- 1. Create New Company Fill out all fields.
- 2. Click button. Create User







- 3. Create New User
 Fill out all fields.
 Create a unique user ID.
- 4. Click saves.





3

5. After you click Save this screen will appear:

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HOME ACCT MGMT

Thank you for completing a request for a user account. You will receive an email when your registration has been confirmed.

6. Your account is now active.

Thank you for completing a request for a user account. You will receive an email when your registration has been confirmed.

Create New Company (third party certifier, manufacturer, and/or test lab)

Create New User

New User confirmation
Email verification (receive and reply)

California Energy Commission staff reviews and approves
Final email validation sent to new user

Account is active



Questions?





Submitting Appliance Data Certifying to the Energy Commission



Submitting Appliance Data

Select appliance category and type

Choose how to submit appliance data

- Manually (using computer interface to input)
- Upload Excel file from your computer

Accept and Sign (type) the appliance submittal declaration

• Submit appliance data for First Stage Validation

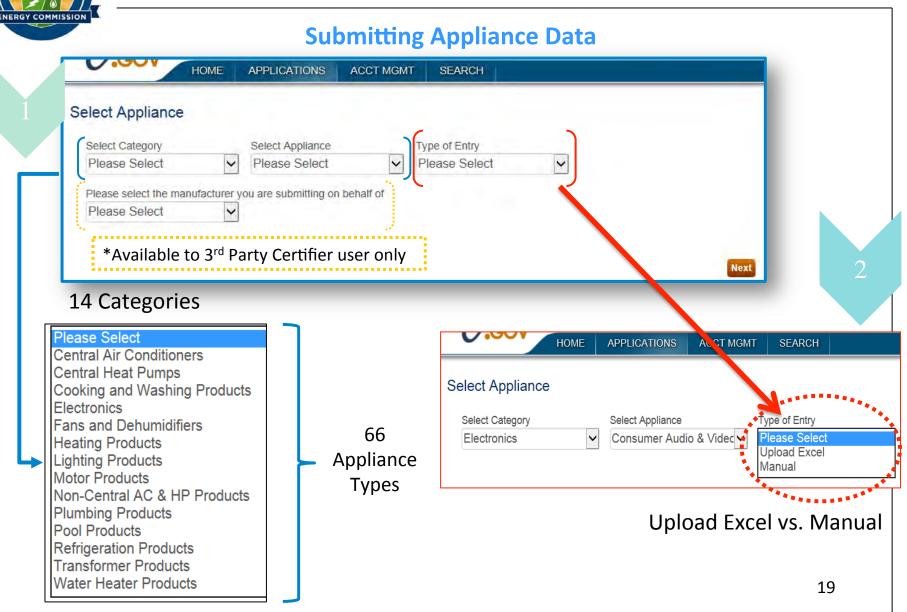
4



Submitting Appliance Data from Company Type Login









Select Appliance

Select Category

Select Appliance

Select Category

Electronics

Electronics

Select Appliance

or

Select Appliance

✓ Consumer Audio & Videc ✓ Manual

✓ Consumer Audio & Videc

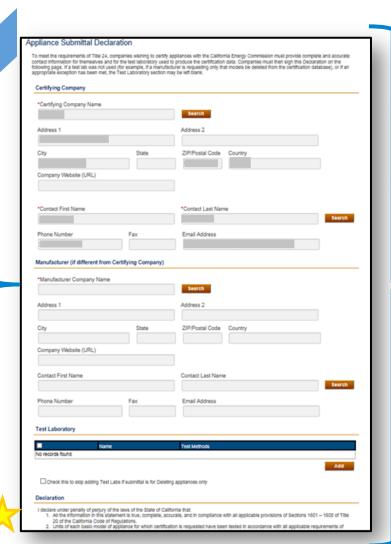
Type of Entry

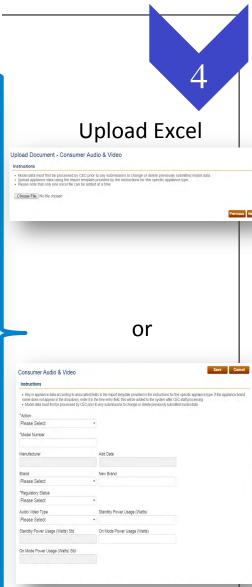
Upload Excel

Type of Entry

CALIFORNIA ENERGY COMMISSION

Submitting Appliance Data





Manual Entry





Submitting Appliance Data – Upload Excel

1. Click Browse.



2. Locate and upload your excel file.

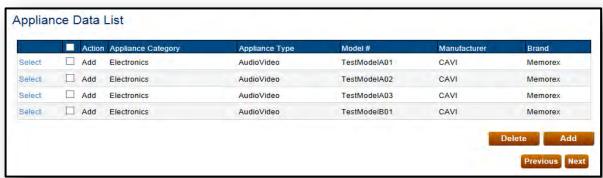


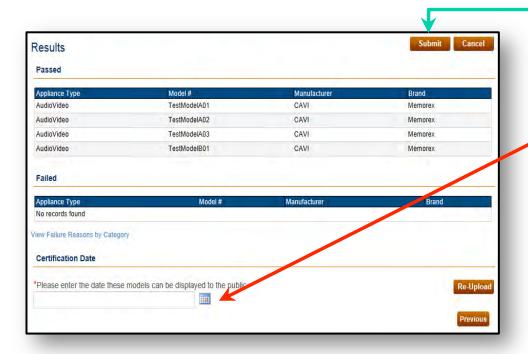




Submitting Appliance Data – Upload Excel

3. Verify uploaded appliance data and click **Next** to complete the first stage validation process.





- 4. Select the **date** the models can be displayed to the public.
- After all entries pass successfully, Submit



Submitting Appliance Data – Manual Entry

1. Fill out all **fields**.

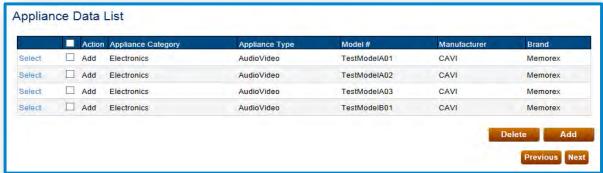
2. Click _____.

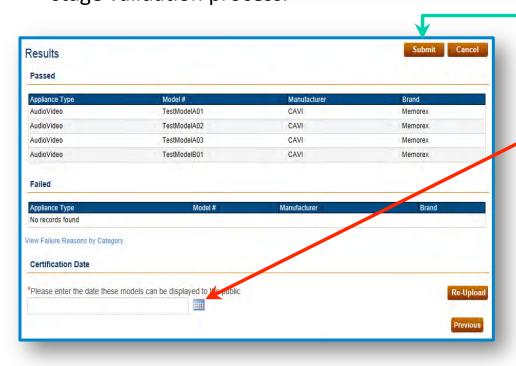
rt template provided in the instructions for this specific appliance type. If the appliance brand eld, this will be added to the system after CEC staff processing sions to change or delete previously submitted model data
d
ower Usage (Watts)
Power Usage (Watts)



Submitting Appliance Data – Manual Entry

- 3. "Add" additional models as needed.
- 4. Verify appliance data entries and click **Next** to complete the first stage validation process.





- 5. Select the **date** the models can be displayed to the public.
- 6. After all entries pass successfully, click Submit



Submitting Appliance Data



1. Submit successful appliance data through MAEDBS.

2. Confirmation message appears with submittal number.



3. User receives a confirmation **email** with submittal number.





First Stage vs. Second Stage Validation

- First Stage Validation
 - Submitting data through MAEDBS for quality check of the submitted data format.
- Second Stage Validation
 - Successful submissions through the MAEDBS are processed by Energy Commission staff.
 - Compliance with federal and state standards is verified.



Second Stage Validation Results

- Second Stage Validation results:
 - Successful
 - Appliance data is now available in the Appliance Efficiency Database
 - Partially Successful
 - Unsuccessful data must be re-submitted
 - Unsuccessful
 - Appliance data must be re-submitted
- Results are emailed to the certifier.



Questions?





Accessing the Appliance Search



Accessing the Appliance Search



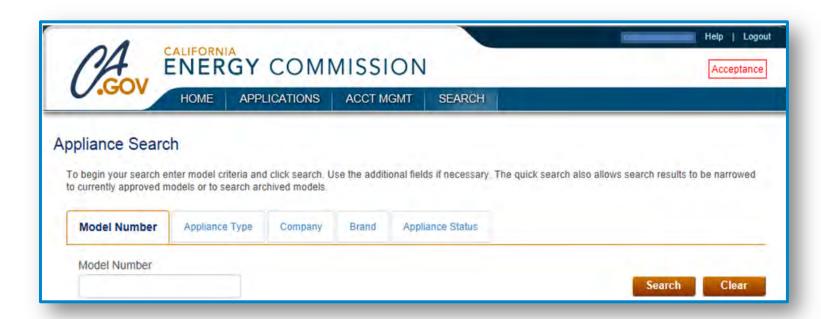
User log-in global home tab (Internal)

Log-in home page (External)

0.001		
	Log In *User ID *Password *Password *I have read and agree to the Login Policy Forgot your User ID? Forgot your Password	17
Don't have an account Create an authorized CEC account Register new account	Public Search Search for publically available appliance information Appliance Search	3rd Party and Test Laboratory Approvals Search for publically available approval information for 3rd party certiflers and test labs.



Accessing the Appliance Search

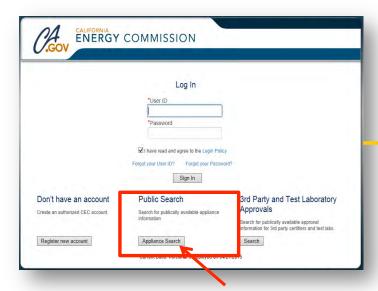


Choose a category to begin your appliance search.





Accessing the Advanced Search





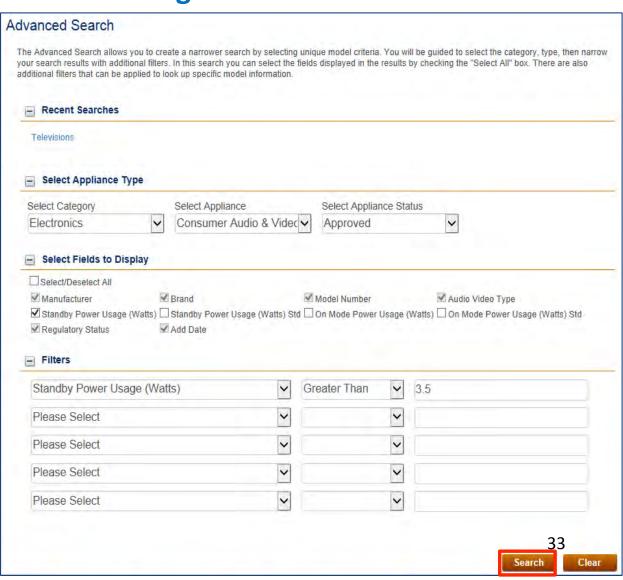


Accessing the Advanced Search

1. Select Appliance Type.

2. Select the fields you want displayed in your results.

3. Narrow your results by using the filters.



additional filters that can be applied to look up specific model information.



Accessing the Advanced Search

The Advanced Search allows you to create a narrower search by selecting unique model criteria. You will be guided to select the category, type, then narrow your search results with additional filters. In this search you can select the fields displayed in the results by checking the "Select All" box. There are also

4. To modify results, use search options.

Search Results 47 record(s) found

Select UN50H6350AF SAMSUNG

Advanced Search

- Recent Searches

Select Appliance Type

Select Fields to Display

Televisions

+ Filters

ModelStatus RegulatoryStatus Add Date TV

Samsung Approved

Size

Clear

Brightness Ratio Power Power Watts Watts

(Diag Control 75.7 0.3

Results

ELECTRONICS Regulated CO., LTD. Select UN50HU6900F SAMSUNG Samsung Approved Non Federally- 11/12/2014 LCD 50 73.6 0.5 72 **ELECTRONICS** CO., LTD. Select PN51F5300BF SAMSUNG Samsung Approved 05/19/2014 Plasma 51 78.7 0.3 83 Non Federally-**ELECTRONICS** Regulated CO., LTD. Select UN55HU7250F SAMSUNG Samsung Approved Non Federally-11/12/2014 LCD 55 73.5 0.3 87 **ELECTRONICS** Regulated CO., LTD. Select UN50HU7000F SAMSUNG 12/05/2014 LCD 50 0.5 Samsung Approved Non Federally-77.6 ELECTRONICS

Non Federally- 12/05/2014 LCD 50

34

Demo: https://cacertappliances.energy.ca.gov/Pages/ApplianceSearch.aspx



Accessing the Company Search

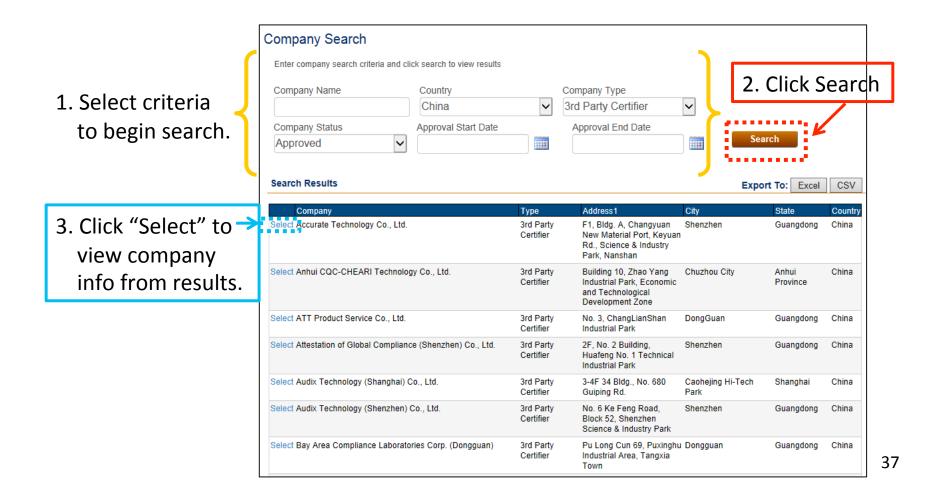


Accessing the Company Type Search Database

GOV CALIFORNIA ENERGY	COMMISSION	
	Log In *User ID	
	*Password I have read and agree to the Login Policy Forgot your User ID? Forgot your Passwor	
	Sign In	;·····
Don't have an account	Public Search	3rd Party and Test Laboratory Approvals
Create an authorized CEC account	Search for publically available appliance information	Approvals Search for publically available approval information for 3rd party certifiers and test labs.
Register new account	Appliance Search	Search
	Current Build: Version 1.0 Deployed on 04/2	P/R916



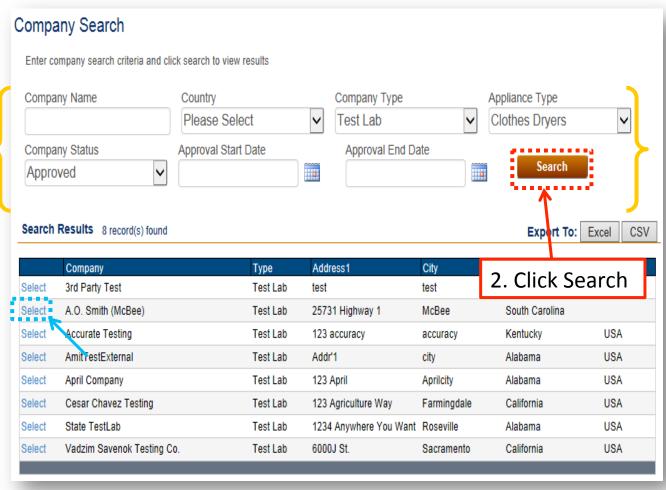
Company Search Database - Third Party Certifier





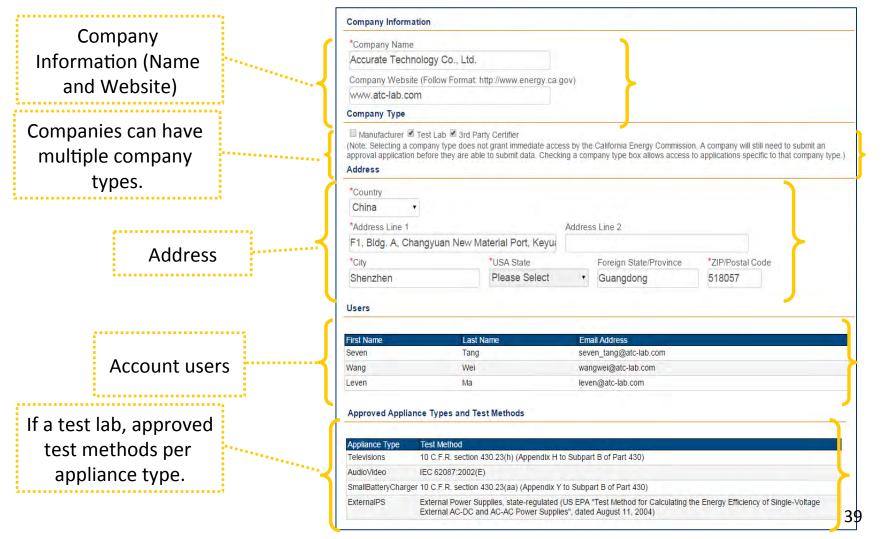
Company Search Database-Test Lab

- 1. Use different search criteria to begin search.
- 3. Click "Select" to view company info from results.





Company Type Search Database



Demo: https://cacertappliances.energy.ca.gov/Pages/ApplianceSearch.aspx



Questions?





Title 20 Enforcement Authority Senate Bill 454



Title 20 Enforcement Authority

- ➤ Senate Bill 454 (2011) gave the California Energy Commission the authority to assess administrative civil penalties for violations of the appliance efficiency regulations.
- Title 20, Section 1609. Administrative Civil Penalties.
- ➤ "Any person, including a **retailer**, **manufacturer**, **contractor**, **importer or distributor**, that sells or offers for sale an appliance, which is not listed in the Appliance Efficiency Database, is in violation of Section 1608(a)(1) and may be subject to an administrative civil penalty for each unit of the appliance that was sold or is offered for sale."
- Four violation types: failure to certify, subperformance, failure to mark properly, and providing material false information.



Four violation types under Title 20

1608(a)(2)(c) Failure to certify.

1608(a)(2)(A) Does not meet the efficiency standard.

1608(a)(2)(B) Failure to mark properly.

1609(a)(3) Providing material false information.



Who may be subject to penalties?

Anyone **selling** an appliance that is in violation of the *Appliance Efficiency Regulations*, including retailers, internet and catalog sellers, wholesalers, distributors, and contractors, may be subject to a monetary penalty.

The Energy Commission may also take appropriate legal and administrative actions, including removal of an appliance model number from the Appliance Efficiency Database, to restrain and discourage the sale of appliances that are in violation of the Appliance Efficiency Regulations.



How are penalties calculated?

The Commission takes into account specific facts and circumstances—that may vary from case to case—and applies them to ten factors specified in the Regulations.

These factors include:

- The nature and seriousness of a violation.
- The efforts to correct a violation before the start of an enforcement action.
- Whether a penalty would impose an undue burden.



Section 1608. Compliance, Enforcement, and General Administrative Matters.

General Requirements for the Sale or Installation of All Appliances.

Any unit of any appliance within the scope of Section 1601 may be sold or offered for sale in California only if:

- (1) the appliance model number appears in the appliance efficiency database.
- (2) the manufacturer has:
 - (a) **tested** the appliance as required by Sections 1603 and 1604;
 - (b) marked the unit as required by Section 1607;
 - (c) for any appliance for which there is an applicable standard, certified to the Energy Commission that the appliance complies with the standard.



Resources

Title 20 Compliance Assistance Hotline

Toll free inside California (888) 838-1467

From outside of California (916) 651-7100

Appliances@energy.ca.gov

Subscribe to the **Title 20 Compliance Assistance** listserv:

http://www.energy.ca.gov/efficiency/listservers.html

Webinar documents are located here:

http://www.energy.ca.gov/appliances/forms/index.html#webdocs



CALIFORNIA ENERGY COMMISSION

Appliance Submittal Declaration – Declaration Section

Declaration I declare under penalty of perjury of the laws of the State of California that: 1. All the information in this statement is true, complete, accurate, and in compliance with all applicable provisions of Sections 1601 – 1608 of Title 20 of the California Code of Regulations. 2. Units of each basic model of appliance for which certification is requested have been tested in accordance with all applicable requirements of Sections 1603 - 1604 of Title 20 of the California Code of Regulations. 3. Section 1606(g) of Title 20 of the California Code of Regulations have been and are being complied with. 4. All units manufactured, distributed or otherwise intended for sale within the State of California have been and are being marked as required by Section 1607 of Title 20 of the California Code of Regulations. 5. The (i) manufacturer's name or brand name or trademark; (ii) model number; and (iii) date of manufacture are permanently, legibly, and conspicuously displayed on an accessible place on each unit. 6. Each unit does comply with the provisions in Tables 6.8.1 A through F of ANSI/ASHRAE/IES Standard 90.1-2007 and is marked, permanently and legibly on an accessible and conspicuous place on the unit, with a statement that the equipment complies with the requirements of ASHRAE Standard 90.1 7. Each unit does not comply with the provisions in Tables 6.8.1 A through F of ANSI/ASHRAE/IES Standard 90.1-2007 and, therefore, is not marked with a statement that the equipment complies with the requirements of ASHRAE Standard 90.1. 8. The appliance complies with the applicable energy efficiency, energy consumption, energy design, water efficiency, water consumption, and water design standards in Sections 1605.1, 1605.2, and 1605.3 of Title 20 of the California Code of Regulations. *Name *Title *Date 10 Previous Next



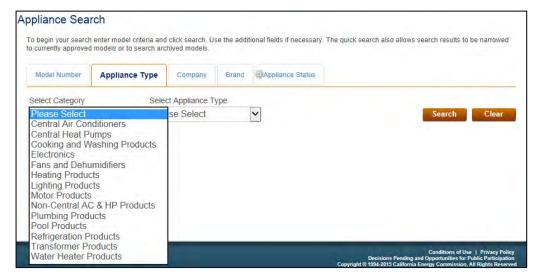


Search Appliance by:

Model Number



Appliance Type





Search Appliance by:

Company (Manufacturer)



Brand



Appliance Status

